/	OIF	E	roj.	<b>.</b>
P. T.	MAR 1	1 20	ok 3	
B	Ze TBI	OE .	Ph.	

## TRANSMITTAL FORM Application No. 10/714,466 Filling Date November 14;2003 First Named Inventor Mark Bohr Art Unit 2824

Art Unit 2824

Examiner Name Lebentritt, Michael

Total Number of Pages in This Submission 15 Attorney Docket Number 42P13758C

	ENCLO	SURES (check all that apply)		
Fee Transmitta	Form	Drawing(s)	After Allowance Communication to Group	
Fee Attac	hed	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences	
Amendment / Ro	esponse	Petition .	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Fina	al /declaration(s)	Petition to Convert a Provisional Application	Proprietary Information	
Extension of Tin	ne Request	Power of Attorney, Revocation Change of Correspondence Address	Status Letter	
Express Abando	nment Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):	
Information Disc	closure Statement	Request for Refund	Return receipt postcard	
PTO/SB/	08	CD, Number of CD(s)		
Certified Copy of Document(s)	of Priority			
Response to Mincomplete Appl	ssing Parts/ ication c Filing Fee	Remarks  Copy of PCT Search Report		
	aration/POA	Copies of cited art (6)		
Parts und 1.52 or 1.	e to Missing Ier 37 CFR 53			
	SIGNATUR	E OF APPLICANT, ATTORNEY, OR AG	ENT	
Firm or	Angelo J. Gaz,	Reg. No. 45,907		
Individual name	BLAKELY	SOKOLOFF, TAYLOR & ZAFN	MAN LLP	
Signature	1/4			
Date	-			
CERTIFICATE OF MAILING/TRANSMISSION				
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.				
Typed or printed n	ame Jean Syobo	la		
Signature		1000	Date March 8, 2004	

Based on PTO/SB/21 (02-04) as modified by Blakey, Solokoff, Taylor & Zafman (wir) 02/10/2004. SEND TO: Commissioner for Patents, R.O. Box 1450, Alexandria, VA 22313-1450

	OIP	E	
	,	4	
	MAR 1	1 2004	W W
图			

## FEE TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$)

 Complete if Known

 Application Number
 10/714,466

 Filing Date
 November 14, 2003

 First Named Inventor
 Mark Bohr

 Examiner Name
 Lebentritt, Michael

 Art Unit
 2824

 Attorney Docket No.
 42P13758C

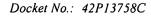
03/08/04

Date

METHOD OF PAYMENT (check all that apply)  FEE CALCULATION (continued)									
	3.	ADI	DITIO	NAL	FEES	3			
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None	Ι.	Large E	intitu	. Sma	II Entit	•			
Deposit Account	<b>—</b>		Fee	Fee	Fee	<del>y</del>			
Deposit		ode	(\$)	Code	(\$)	Fe	e Description		FeePaid
Account Number 02-2666	1 4	051	130	2051	65	Surcharge - late filing	fee or oath		
Deposit		052	50	2052	25	Surcharge - late provi			
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	1					cover sheet.			
		053	130	2053	130	Non-English specificat			
The Commissioner is authorized to: ( check all that apply)			2,520	1812	2,520	For filing a request for	•	nation	
Charge fee(s) indicated below Credit any overpayments	1 1	804	920 •	1804	920	<ul> <li>Requesting publication</li> <li>Examiner action</li> </ul>	n or SIK phor to		
Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	1 1	805 1	1,840 *	1805	1,840	* Requesting publication	n of SIR after		<u> </u>
Charge fee(s) indicated below, except for the filing fee						Examiner action			
to the above-identified deposit account	_ 1	251	110	2251	55	Extension for reply wi	thin first month		
FEE CALCULATION	. 1	252	420	2252	210	Extension for reply wit	hin second month		
1. BASIC FILING FEE	1	253	950	2253	475	Extension for reply wit	thin third month	-	
Large Entity Small Entity	1	254 1	1,480	2254	740	Extension for reply wi	thin fourth month		
Fee Fee Fee Fee <u>Fee Description</u> FeePaid Code (\$)	1:	255 1	1,210	2255	605	Extension for reply wi	thin fifth month		
770 200 1685 68-40	, 1	404	330	2401	165	Notice of Appeal			
1001 770 2001 385 Utility filing fee 1002 340 2002 170 Design filing fee	1	402	330	2402	165	Filing a brief in suppor	nt of an appeal		
1003 530 2003 265 Plant filing fee	- 1	403	290	2403	145	Request for oral heari	ng	•	
1004 770 2004 385 Reissue filing fee	1.	451 <sup>1</sup>	1,510	2451	1,510	Petition to institute a p	oublic use proceedi	ng	
1005 160 2005 80 Provisional filing fee	1.	452	110	2452	55	Petition to revive - una	avoidable		<b> </b>
	╣	453 1	1,330	2453	665	Petition to revive - uni	ntentional		
SUBTOTAL (1) (\$)	]] 1	501 1	1,330	2501	665	Utility issue fee (or re	issue)		
2. EXTRA CLAIM FEES Extra Fee from	] 1	502	480	2502	240	Design issue fee			
Claims below FeePaid	1:	503	640	2503	320	Plant issue fee			<del></del>
Total Claims x = X	] 1	460	130	2460	130	Petitions to the Comm	nissioner		
Independent X = X	1	807	50	1807	50	Processing fee under	37 CFR 1.17(q)		
Multiple Dependent =	11	806	180	1806	180	Submission of Inform	ation Disclosure St	tmt	
Large Entity   Small Entity	<b>-</b>   8	021	40	8021	40	Recording each pater	t assignment per		
Fee Fee Fee Fee Description						property (times numb	er of properties)		
Code (\$) Code (\$)	18	809	770	1809	385	Filing a submission aft (37 CFR § 1.129(a))	er final rejection		
1202 18 2202 9 Claims in excess of 20	1 .	840	770	2010	205				
1201 86 2201 43 Independent claims in excess of 3	1 1	810	770	2810	385	For each additional invexamined (37 CFR § 1	1.129(b))		
1203 290 2203 145 Multiple Dependent claim, if not paid 1204 86 2204 43 **Reissue independent claim's over original	18	801	770	2801	385	Request for Continued	Examination (RCE	≣)	
patent patent	. 18	802	900	1802	900	Request for expedited			
1205 18 2205 9 **Reissue claims in excess of 20 and over	Oth	ner fee (:	specify)			of a design application			
l original patent			• •						
SUBTOTAL (2) (\$)				t F	Date:			<u> </u>	
*for number previously paid, if greater, For Reissues, see below	- Re	cuced by	y Basic Fi	ung Fee	raid	:	SUBTOTAL (3)	(\$)	
SUBMITTED BY							Come	olete (if applica	hle)
		Regi	istratio	n No.	Т			I	
Name (Print/Type) Angelo J. Gaz			ney/Age		4	45,907	Telephone	(310) 20	7-3800

Based on PTO/SB/17 (10-03) as modified by Blakely, Solokoff, Tayor & Zafman (wlr) 02/10/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandra, VA 22313-1450

Signature





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

MARK BOHR

Application No.: 10/714,466

Filed: November 14, 2003

For: SELF-ALIGNED CONTACTS TO GATES

Art Group: 2824

Examiner: Lebentritt, Michael

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The references were cited in a Search Report dated February 19, 2004 (copy enclosed herewith) in a counterpart EPO application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: March 08, 2004

Angelo J. Gaz, Reg. No. 45,907

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025

Telephone: (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

03-08-04

Date

Complete if Known Sebstitute for form 1449A/PTO 10/714,466 **Application Number INFORMATION DISCLOSURE** November 14, 2003 Filing Date First Named Inventor Mark Bohr STATEMENT BY APPLICANT 2824 Art Unit (use as many sheets as necessary) Lebentritt, Michael Examiner Name 2 **Sheet** of Attorney Docket Number 42P13758C

	U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
		US-5,320,932	06-14-1994	Haraguchi et al					
		US-6,091,129	07-18-2000	Cleeves					
		US-6,278,189	08-21-2001	Jeng et al					
		US-6,084,305	07-04-2000	Harvey					
		US-							
		US-							
		US-							
		US-							
		US-							
		US-							
		US-							
		US-			•				
		US-							
		US-							
		US-							
		US-							
		US-							
		US-							
		US-							
		US-							

	FOREIGN PATENT DOCUMENTS								
Examiner	Cite	Foreign Patent Document	015 / 01		Pages, Columns, Lines,				
	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵			
		EP 0465056	01-08-1992	American Telephone & Telegraph					
		EP 0601951	06-15-1994	IBM					
					,				
<u></u>									

Examiner	Date	
Signature	Considered	1
		1

"Examiner. Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2003.

Complete if Known Substitute for form 1449A/PTO Application Number 10/714,466 INFORMATION DISCLOSURE November 14, 2003 Filing Date Mark Bohr First Named Inventor STATEMENT BY APPLICANT 2824 Art Unit (use as many sheets as necessary) Lebentritt, Michael **Examiner Name** Sheet 2 of 2 Attorney Docket Number 42P13758C

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		PCT International Search Report dated 2/19/2004 related to International Application No. PCT/US03/26565	
-			
	<del></del>		
		·	

	The state of the s	
Examiner	Date	
Signature	Considered	

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.